



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Application of

Madhukar B. Vora

Serial No.: not yet assigned

Filed: herewith

) Art Unit: unknown

) Examiner: unknown

) Docket No: V&amp;F-001

For: VERTICALLY INTEGRATED FLASH EEPROM FOR GREATER DENSITY AND LOWER COST

Honorable Commissioner  
of Patents and Trademarks  
Washington, D.C. 20231

## INDEPENDENT INVENTOR'S DECLARATION

Dear Sir:

The undersigned Applicant for the above application hereby states in accordance with 37 CFR Sections 1.9 and 1.27 that he is an independent inventor who has not assigned, granted, conveyed or licensed, and who is under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention described in the above application to any person who could not likewise be classified as an independent inventor if that person had made the invention, or to any concern who would not qualify as a Small Business Concern or a non-profit organization under 37 CFR Section 1.9 (c).

I hereby acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b).)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issued thereon.

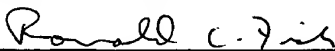
Respectfully Submitted,

  
Madhukar B. Vora

5-7-96  
Date

I hereby certify that all of the above identified correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: COMMISSIONER OF PATENTS AND TRADEMARKS, WASHINGTON, D.C. 20231 on 5-29-96  
Express Mail Receipt Number  
EF236633917US

Date: 5/29/96

  
Ronald Craig Fish, President  
Rec. No. 28.843

## DECLARATION FOR PATENT APPLICATION

Inventor(s): Madhukar B. Vora  
Docket No. V&F-001

As a below-named inventor, I hereby declare that:

My correct residence, post office address and citizenship are stated below next to my name.

I believe myself to be the original, first and sole inventor (if only one name is listed below) or an original and first joint inventor (if more than one name is listed below) of the subject matter which is disclosed and claimed and for which a patent is sought on the invention entitled:

The specification of this subject matter:

☒ is attached hereto.

☐ was filed on \_\_\_\_\_;

was assigned serial No. \_\_\_\_\_;

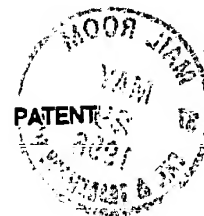
which was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above identified patent application, including the claims, as amended by any amendment(s) referred to above. I believe the subject matter claimed in the above-identified application to be new and to be unobvious to persons of ordinary skill in the art in view of the prior art of which I am aware. I further hereby state that the specification of the above identified patent application adequately describes how to make and use the claimed invention, and further that it sets forth the best mode for practicing the invention known to me as of the date that the application was filed. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56. I further acknowledge the duty to disclose information known to me to be material under 37 C.F.R. 1.56 to the examination of the claims in any continuation-in-part application filed under the conditions specified in 35 U.S.C. Section 120 which became available between the filing date of the prior application or the national or PCT international filing date and the filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Application No.	Country	Filing Date	Priority Claimed
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I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in these prior United States application(s) in the manner provided by 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56(a) which



Docket Number V&amp;F-001

occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

Application No.      Filing Date      Status (Issued, Pending, Abandoned)

FULL NAME OF INVENTOR 1	FIRST Name	MIDDLE Initial(s)	LAST Name
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FULL NAME OF INVENTOR 2	FIRST Name	MIDDLE Initial(s)	LAST Name
RESIDENCE AND CITIZENSHIP	City	State or Foreign Country	Country of Citizenship
POST OFFICE ADDRESS	Number and Street	City	State or Country
			Zip Code

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith:

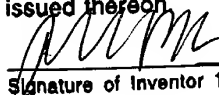
Ronald C. Fish (28,843)

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Address all correspondence to Ronald Craig Fish

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FAX No. (408) 776-0426

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	5-7-96		
Signature of Inventor 1	Date	Signature of Inventor 2	Date